AMENDMENT TRANSMITTAL LETTER (Large Entity) Docket No. YOR920010274US1 (I31-0004) Applicant(s): Roberto Ayala, et al. Filing Date **Group Art Unit** Serial No. Examiner 2167 09/910,544 July 20, 2001 RK-BASED SUPPLY CHAIN MANAGEMENT METHOD **COPY OF PAPERS** Invention **ORIGINALLY FILED** MAY 0 9 2002 RECEIVED TO THE ASSISTANT COMMISSIONER FOR PATENTS: MAY 1 4 2002 Transmitted herewith is an amendment in the above-identified application. Technology Center 2100 The fee has been calculated and is transmitted as shown below. **CLAIMS AS AMENDED** ADDITIONAL **CLAIMS REMAINING HIGHEST # NUMBER EXTRA** RATE **CLAIMS PRESENT** FEE AFTER AMENDMENT PREV. PAID FOR \$18.00 \$54.00 **TOTAL CLAIMS** 53 50 3 x \$0.00 3 0|x\$84.00 INDEP. CLAIMS 3 \$0.00 Multiple Dependent Claims (check if applicable) \$54.00 TOTAL ADDITIONAL FEE FOR THIS AMENDMENT □ No additional fee is required for amendment. Please charge Deposit Account No. 50-0510 in the amount of \$54.00 A duplicate copy of this sheet is enclosed. A check in the amount of to cover the filing fee is enclosed. The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0510 RECEIVED A duplicate copy of this sheet is enclosed. Any additional filing fees required under 37 C.F.R. 1.16. JUN 0:7 2002 Any patent application processing fees under 37 CFR 1.17. GROUP 3600 Marisagn Dated: April 29, 2002

Marisa J. Dubuc Reg. No. 46,673

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certify that this document and fee is being deposited with the U.S. Postal Service as on4-29-2002

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APPLICANT:

ROBERTO AYALA ET AL.

Group Art Unit: 2167

SERIAL NUMBER: 09/910,544

Before the Examiner:

FILED:

July 20, 2001

Not Yet Assigned

FOR:

NETWORK-BASED SUPPLY

RECEIVED MAY 1 4 2002

CHAIN MANAGEMENT METHOD)

**Technology Center 2100** 

**Assistant Commissioner for Patents** Washington, D.C. 20231

## PRELIMINARY AMENDMENT

Sir:

Prior to the examination of the present application, please amend the application as

follows:

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In the Claims

Claim 1 is presented as follows in re-written "clean" format.

**GROUP 3600** 

(Amended) A method for facilitating supply chain collaboration in a network 1. environment, said supply chain including an enterprise and at least one supplier, the method comprising:

transmitting an unconstrained forecast to said at least one supplier; receiving, from said at least one supplier, a supplier capability statement; transmitting a constrained forecast to said at least one supplier, said constrained forecast generated using at least one supplier

capability statement.

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